

Date: 1 March 2023

Item: TfL Project Assurance Update

This paper will be considered in public

1 Summary

- 1.1 This paper provides an update on the project assurance work undertaken between 13 November 2022 and 14 January 2023. Three programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in all of them. In the same timeframe, seven project assurance reviews were undertaken, with IIPAG involved in three of these.
- 1.2 These reviews gave rise to a total of 33 recommendations being made, of which one was considered to be a critical issue. Critical issues are those that the TfL Project Assurance team or IIPAG believe should be addressed before projects proceed to the next stage.
- 1.3 A paper is included on Part 2 of the agenda which contains exempt supplemental information that is exempt from publication by virtue of paragraph 3 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the business affairs of TfL. Any discussion of that exempt information must take place after the press and public have been excluded from this meeting.

2 Recommendation

- 2.1 **The Committee is asked to note the paper and the exempt supplementary information on Part 2 of the agenda.**

3 Background

- 3.1 The TfL Project Assurance update provides the Committee with a summary of the second line assurance, provided by TfL's Project Assurance team, and third line assurance, provided by IIPAG.

4 Summary of Project Assurance Activity

- 4.1 From the reviews undertaken, TfL Project Assurance made 28 general recommendations and raised one critical issue, with all of these being agreed with the project teams concerned.
- 4.2 IIPAG made five general recommendations and raised no critical issues. The recommendations were all agreed with the respective project teams.

5 Status of IIPAG and Project Assurance Recommendations

- 5.1 The following graphs show the number of open recommendations, the number that are overdue, and the number closed at the end of Period 6, Period 8 and Period 10 of 2022/23 respectively.

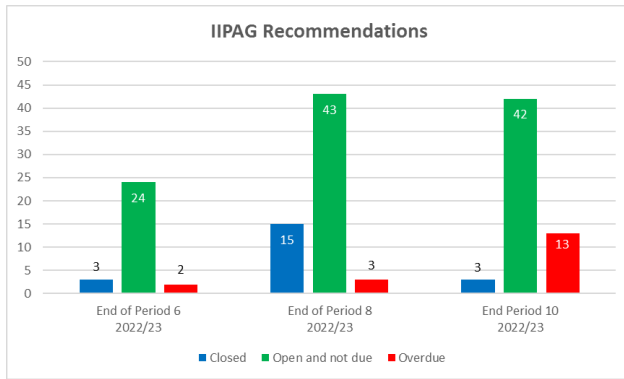


Figure 1: IIPAG Recommendations

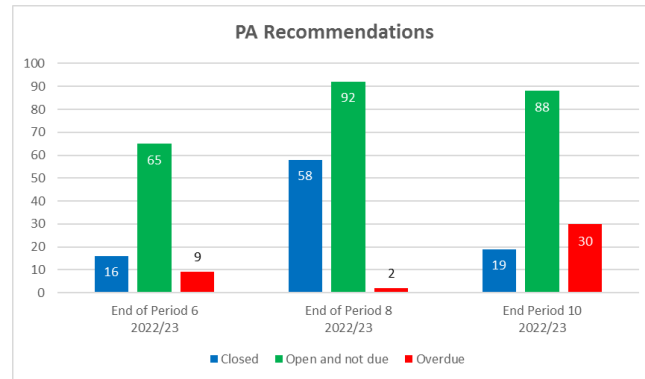


Figure 2: Project Assurance Recommendations

5.2 The following graphs show the length of time that the overdue Project Assurance and IIPAG recommendations have been overdue by as at the end of Period 10, and the Chief Officer area that the recommendations relate to. At the end of Period 10 there were three overdue critical issues, these have since been addressed and closed.

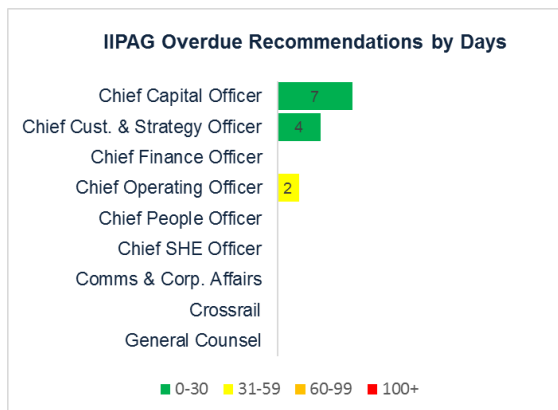


Figure 3: Overdue IIPAG Recommendations

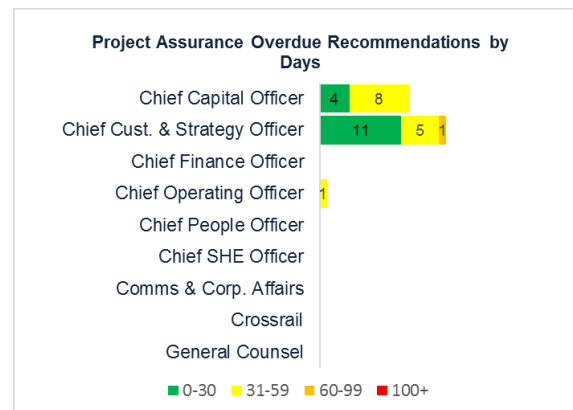


Figure 4: Overdue Project Assurance Recommendations

5.3 Since the end of Period 10 a number of recommendations have been closed. As of 30 January 2023, there is one overdue IIPAG recommendation and six overdue Project Assurance recommendations none of which are critical issues.

List of appendices to this paper:

Exempt supplementary information is included in a paper on Part 2 of the agenda.

List of background papers:

None

Contact Officer: Howard Carter, General Counsel
 Email: HowardCarter@tfl.gov.uk